

DOCKET NO.: S1330.70004 US00

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Joseph I. Smullin

Serial No.:

10/623,667

Conf. No.:

5065

Filing Date:

July 21, 2003

For:

SEPARATOR OF FLOATING COMPONENTS

Examiner:

Not Yet Assigned

Art Unit:

3748

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 8th day of December, 2004.

Steven J. Henry Reg. No. 27,900

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- [x] Information Disclosure Statement, PTO-1449 and references
- [x] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 646-8000, Boston, Massachusetts.

No check is enclosed to cover the filing fee. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Steven J. Henry Reg. No. 27,900

W.16.0 C.11.0

Wolf, Greenfield & Sacks, P.C.

Docket No.: \$1330.70004 US00 Date: December 8, 2004 600 Atlantic Avenue Boston, MA 02210-2211

x01/09/05

(617) 646-8000



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Steven J. Henry Reg. No. 27,900

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

## Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

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Conf. No.: 5065

# Compliance with 37 CFR §1.704(d)

Each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and this communication was not received by the office of the undersigned more than 30 days prior to filing of this Information Disclosure Statement.

## Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application.

The above-identified U.S. application claims priority to application Serial No. PCT/US2004/14552. If the Examiner has not had the benefit of review of the file history of PCT/US2004/14552, then he/she is asked to contact the undersigned, who will provide a copy of same.

The Applicant would like to bring to the Examiner's attention the enclosed search report from a corresponding International or Foreign National Application.

## Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

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2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;

3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:

Steven J. Henry, Reg. No. 27,900 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

(617) 646-8000

Docket No.: S1330.70004 US00

Date: December 8, 2004

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FOR PTO-1446 and B (Modified)	APPLICATION NO.: 10/623,667	ATTY. DOCKET NO.: \$1330.70004 US00	
INFORMATION DISCLOSURE	FILING DATE: July 21, 2003	CONFIRMATION NO.: 5065	
STATEMENT BY APPLICANT	APPLICANT: Joseph I. Smullin		
Sheet I of I	GROUP ART UNIT: 3748	EXAMINER:	

## U.S. PATENT DOCUMENTS

	Cite	U.S. Patent Document		Name of Patentee or Applicant of Cited	Date of Publication or of issue	
	No.	Number	Kind Code	Document Document	of Cited Document MM-DD-YYYY	
		·				
		4,396,508 *		Broughton	08-02-1983	
		4,554,074 *		Broughton	11-19-1985	
		6,203,698 *		Goodrich	03-20-2001	

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document		nent	Name of Patentee or Applicant of Cited	Date of Publication of	Translation
		Office/ Country	Number	Kind Code	Document (not necessary)	Cited Document MM-DD-YYYY	(Y/N)
		CH	208713		Weiss	02-29-1940	N
		FR	1.333.900		Societe de Mecanique et de Transports	08-02-1963	N
		GB	124,551		Longsdon	03-24-1919	

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
		International search report and written opinion		

EXAMINER:	DATE CONSIDERED:		

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

[NOTE - The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b). For all patent applications filed on or before June 30, 2003, copies of cited U.S. patents and patent application publications are still required unless an eIDS is filed. Copies of all other patent(s), publication(s), or other information listed must still be provided, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

<sup>\*</sup>a copy of this reference is not provided.